



DR/IDW

PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

February 4, 2009

Applicants: Junzo SUNAMOTO et al

For: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953 Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001 Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date: March 30, 2000

Atty. Docket No.: Yanagihara 62

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**PETITION FOR REVIVAL OF AN APPLICATION
FOR PATENT ABANDONED UNINTENTIONALLY UNDER
37 C.F.R. § 1.137(b)**

Sir:

1. This application became abandoned on December 14, 2006.

(Please see the following pages.)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 4, 2009.

Terry F. Chapman
Terry F. Chapman

02/09/2009 SLUANG1 00000012 09936953
01 FC:1453

1620.00 OP

2. This application became abandoned because the failure to prosecute was an unintentional delay. The entire delay in filing the required reply from the due date until the filing of this petition was unintentional 37 C.F.R. § 1.137(b)(3).

3. A Response or action required has been filed. In connection with the required reply which is required by this petition, please note that the response is by the filing of a request for continued examination in compliance with § 1.114.

4. Application status is other than small entity - fee \$1,620.00.

5. Payment of fee. Attached is a check to cover the \$1,620.00 fee. The Commissioner is hereby authorized to charge any additional fee which may be required by this paper, or to credit any overpayment, to Deposit Account No. 06-1382.

6. Showing:

Because this petition is more than one year after the date of abandonment of the application, Applicants additionally submit further information as to when Applicants (or Applicants' representative) first became aware of the abandonment of the application, and a showing as to how the delay in discovering the abandoned status of the application occurred despite the exercise of due care or diligence on the part of the Applicants (or Applicants' representative). 62 Fed. Reg. 53,131, 53,159 (Oct. 10, 1997).

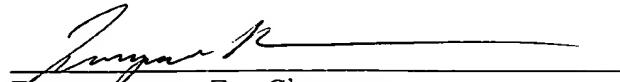
On October 13, 2006, a Decision was rendered by the Board of Appeals confirming the Examiner's rejection of all of the pending claims as being unpatentable. On November 1, 2006, a Notice of Abandonment was mailed from the Patent Office indicating that the application had gone abandoned because the period for seeking court review of the Decision had expired and there were no allowed claims. Applicants' representative was not aware of the Notice of Abandonment because it was not inserted into the application file due to Applicants' representative having the file in his office awaiting instructions from the client. On December 13, 2006, a Request

for Continued Examination was filed with a Petition Under 37 CFR 1.103(c) to suspend prosecution for three months. There was no notice from the Patent Office that anything was improper. On December 18, 2006, our office was charged \$70.00 for a petition fee for this application. On February 1, 2007, our office filed a Request for Refund of the charged petition fee. On March 23, 2007, a Supplemental Response containing a 37 CFR 1.132 Declaration was filed with the Patent Office. On March 6, 2008, a Status Request was mailed to the Patent Office. On September 12, 2008, the Examiner handling the present application was called regarding the application's status and Applicants' representative was informed that the application was erroneously abandoned early and that a Petition to Withdraw the Abandonment should be filed since the Applicants responded to the Decision of the Board within the two month period. On September 23, 2008, a Petition to Withdraw the Holding of Abandonment was filed. On December 15, 2008, the Patent Office mailed the Decision dismissing the petition for not being timely. On January 7, 2009, a Reconsideration of Holding of Abandonment was mailed to the Patent Office.

Since Applicants' representative had timely filed the RCE in response to the Board's Decision, he was not made aware of the erroneous Notice of Abandonment until the interview conducted on September 12, 2008. The Patent Office had continued activity on the file after December 14, 2006 as evidenced by the erroneous deduction from the Deposit Account on December 18, 2006 and the letter from the Patent Office dated February 21, 2007 acknowledging receipt of our Refund Request. There also was no Notice from the Patent Office that the RCE dated December 13, 2006 and Supplemental Response filed March 23, 2007 was filed after the abandonment of the application.

Since Applicants are timely filing this Petition for Revival after discovery of the abandonment of the present application, favorable consideration and revival of the present application is respectfully solicited.

Respectfully submitted,



Terryence F. Chapman

TFC/smd

FLYNN, THIEL, BOUTELL & TANIS, P.C. 2026 Rambling Road Kalamazoo, MI 49008-1631 Phone: (269) 381-1156 Fax: (269) 381-5465	David G. Boutell Terryence F. Chapman Mark L. Maki Liane L. Churney John A. Waters Brian R. Tumm Donald J. Wallace Dale H. Thiel Sidney B. Williams, Jr. Heon Jekal	Reg. No. 25 072 Reg. No. 32 549 Reg. No. 36 589 Reg. No. 40 694 Reg. No. 24 802 Reg. No. 36 328 Reg. No. 43 977 Reg. No. 24 323 Reg. No. 24 949 Reg. No. L0379*
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*limited recognition number

Encl: First page of Board Decision
Notice of Abandonment
Postal Card showing filing of RCE
Deposit Account Statement
Request for Refund
Acknowledgement of Refund Request
Copy of Canceled Check
Postal Card showing mailing of Supplemental Response
Status Request
Examiner Interview Summary Record
Postal Card for Petition to Withdraw Holding of
Abandonment
Postal Card for Reconsideration of Holding of
Abandonment
Image File Wrapper of Application
Check (\$1,620)
Postal Card

110.10/07

The opinion in support of the decision being entered today was *not* written for publication and is *not* binding precedent of the Board.



UNITED STATES PATENT AND TRADEMARK OFFICE

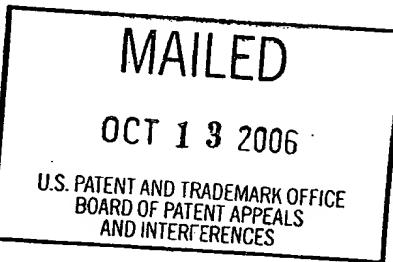
BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

COPY

Ex parte JUNZO SUNAMOTO, KUNIO SHIMADA, AKIO HAYASHI
RYUZO HOSOTANI, YOSHIHIRO YANO and KAZUNARI AKIYOSHI

Appeal No. 2006-2554
Application No. 09/936,953

ON BRIEF



Before SCHEINER, MILLS and LINCK, *Administrative Patent Judges*.

LINCK, *Administrative Patent Judge*.

DECISION ON APPEAL

This is a decision on appeal under 35 U.S.C. § 134 from the final rejection under 35 U.S.C. § 103(a) of claims 1, 4, 5 and 8-12, all of the pending claims in Application No. 09/936,953, filed Sept. 17, 2001 (hereafter the "953 application").

Claim 1 is the only independent claim. It reads:

1. A cosmetic product comprising 99.999-50 wt. % of cosmetic components, a solvent containing at least one of a volatile oil and a volatile organic solvent and 0.001-50 wt. % of a pullulan-cholesterol derivative formed by substituting the hydroxyl groups of mono-saccharide units constituting the pullulan of the pullulan-cholesterol derivative, in



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/936,953	09/17/2001	Junzo Sunamoto	Yanagihara Case 62	4435
7590	11/01/2006		EXAMINER	
Flynn Thiel Boutell & Tanis 2026 Rambling Road Kalamazoo, MI 49008-1699		DHT <input checked="" type="checkbox"/> DGB <input type="checkbox"/> RJT <input type="checkbox"/> TAC <input checked="" type="checkbox"/> MLM <input type="checkbox"/> LLC <input type="checkbox"/> BRT <input type="checkbox"/> SRT <input type="checkbox"/> SBW <input type="checkbox"/>	CHONG, YONG SOO	
			ART UNIT	PAPER NUMBER
			1617	
		NOV 04 2006	DATE MAILED: 11/01/2006	
		DJW <input type="checkbox"/> KLP <input type="checkbox"/> PMG <input type="checkbox"/> GMS <input type="checkbox"/> CMC <input type="checkbox"/> TLH <input type="checkbox"/> FILE <input type="checkbox"/>		

COPY

Please find below and/or attached an Office communication concerning this application or proceeding.



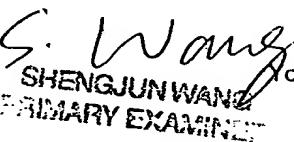
Notice of Abandonment

Application No.	Applicant(s)
09/936,953	SUNAMOTO ET AL.
Examiner	Art Unit
Yong S. Chong	1617

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address—

This application is abandoned in view of:

1. Applicant's failure to timely file a proper reply to the Office letter mailed on _____.
 (a) A reply was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply (including a total extension of time of _____ month(s)) which expired on _____.
 (b) A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.
 (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
 (c) A reply was received on _____ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
 (d) No reply has been received.
2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
 (a) The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
 (b) The submitted fee of \$_____ is insufficient. A balance of \$_____ is due.
 The issue fee required by 37 CFR 1.18 is \$_____. The publication fee, if required by 37 CFR 1.18(d), is \$_____.
 (c) The issue fee and publication fee, if applicable, has not been received.
3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
 (a) Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply.
 (b) No corrected drawings have been received.
4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. The decision by the Board of Patent Appeals and Interference rendered on 13 October 2006 and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. The reason(s) below:


 S. Wang
 SHENGJUN WANG
 PRIMARY EXAMINER

Yong S. Chong

petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

DHT _____ DGB _____ TFC _____
MLM _____ LLC _____ BRT _____
SRT _____ DJW _____ SBW _____



DEC 20 2006

HJ _____ PMG _____
GMS _____ CRA _____ KC _____
FILE _____

FLYNN, THIEL, BOUTELL & TANIS, P.C.
2026 RAMBLING ROAD
KALAMAZOO, MI 49008-1631

666

Received the following RCE Application:
Applicants: Junzo SUNAMOTO et al.
Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE
Serial No.: 09/936 953
Confirmation No.: 4435
Filing Date: September 17, 2001
Examiner: Chong
Group: 1617
Fees: \$920.00 (Check Deposit) A
Other: Application Transmittal (3 pages)
Letter to Examiner (1 page)
Our Ref: Yanagihara 62
Express Mail Cert. (Label No. EV 908 858 973 US)
Due: December 13, 2006



(00062691.DOC)

Deposit Account Statement

Page 2 o

12/13 28	77062384	3008.T0283US	7001	\$650.00 ✓OK	\$23,651.55
12/13 21	5911177	5 911 177 - 5714.P0019US 2552		\$1,150.00 ✓OK	\$22,501.55
12/13 2364	2037818	5594.T0001US	7205	\$200.00 ✓OK	\$22,301.55
12/13 2365	2037818	5594.T0001US	7201	\$800.00 ✓OK	\$21,501.55
12/15 396	78374654	S*O TM 212	7004	\$450.00 ✓OK	\$21,051.55
12/18 3	78696642	3002.M1076US	7402	\$300.00 ✓OK	\$20,751.55
12/18 323	77065434	5016.T0038US	7001 Patent	\$650.00 ✓OK	\$20,101.55
12/18 31	09936953	YANAGIHARA CASE 62	1463 Petition Fee	\$70.00	\$20,031.55
12/18 389	11527325	3700.P0422US	8021	\$40.00 ✓OK	\$19,991.55
12/18 391	29269014	3138.P0387US	8021	\$40.00 ✓OK	\$19,951.55
12/18 392	29269069	3138.P0378US	8021	\$40.00 ✓OK	\$19,911.55
12/18 394	29269010	3138.P0388US	8021	\$40.00 ✓OK	\$19,871.55
12/18 406	29269154	3138.P0375US	8021	\$40.00 ✓OK	\$19,831.55
12/19 25	2613418	5315.T0001US	8521	\$40.00 ✓OK	\$19,791.55
12/19 558	29269153	3138.P0389US	8021	\$40.00 ✓OK	\$19,751.55
12/19 565	29269012	3138.P0386US	8021	\$40.00 ✓OK	\$19,711.55
12/19 1640	78564435	KALAMAZOO TM 1	7002	\$100.00 ✓OK	\$19,611.55
12/19 2509	78564511	4750.T0002US	7003	\$100.00 ✓OK	\$19,511.55
12/20 77	29269009	3138.P0384US	8021	\$40.00 ✓OK	\$19,471.55
12/20 296	78402509	5636.T0001US	7004	\$900.00 ✓OK	\$18,571.55

START BALANCE	SUM OF CHARGES	END REPLENISH BALANCE
\$11,726.55	\$13,155.00	\$20,000.00 \$18,571.55

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F

PATENT APPLICATION
IN THE U.S. PATENT AND TRADEMARK OFFICE

February 1, 2007

Applicant(s): Junzo SUNAMOTO et al.

For: COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL
DERIVATIVE

Serial No.: 09/936 953 Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001 Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date: March 30, 2000

Atty. Docket No.: Yanagihara Case 62

Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

MAILED TO PATENT OFFICE
DATE FEB 1 2007

REQUEST FOR REFUND

Sir:

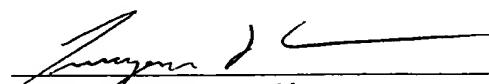
Enclosed is a copy of the December 2006 Deposit Account Statement showing charges of \$70.00 for a petition fee in the above matter. This charge is believed to be in error. The 37 CFR §1.103(c) fee for requesting limited suspension of action in a request for continued examination falls under §1.17(i) processing fee of \$130.00. An RCE was filed on December 13, 2006, wherein the filing fee of \$790.00 and the suspension of action fee of \$130.00 was submitted.

Please credit to Deposit Account No. 061382 the amount of \$70.00.

Respectfully submitted,

IN DUPLICATE

TFC/cc


Terryence F. Chapman



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DHT DGB TAC
MLM LLC BRT
SRT DJW SBW

February 21, 2007

FEB 28 2007
HJ PMG COPY
GMS CRA KC
FILE

FLYNN THIEL BOUTELL & TANIS
2026 RAMBLING ROAD
KALAMAZOO, MI 49008-1699
US

Dear Sir/Madam,

This is to acknowledge receipt of your refund request for 09936953 in the amount of \$70.00.

Your request has been forwarded to the Technical Center Others for review and processing.

To inquire about the status of your refund request, please call 703 308-9010 x177.

Thank you,

Technical Center Others

FLYNN, THIEL, BOUTELL & NIS, P.C.

2026 RAMBLING ROAD
KALAMAZOO, MICHIGAN 49008

02782

DATE Dec. 13, 2000

**PAY TO THE
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Nine, Flushed Twenty and Not 100

REF: 5720, P006245

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FLYNN, THIEL, BOUTELL & TANIS P.C.

~~NON NEGOTIABLE~~

AUTHORIZED SIGNATURE

027826 0724000521 0001431533

027821

FLYNN, THIEL, BOUTELL & TANIS, P.C.

SERIAL NO.	09/1936953	PATENT OR REG. NO.	ATTY REF.	5720. P0062US
DESCRIPTION		LARGE ENTITY	SMALL ENTITY	TRADEMARK
FILING FEE	RCE	\$ 790		
ASSIGNMENT RECORDING FEE				
EXTENSION FEE				
ADDITIONAL FILING FEE				
ISSUE FEE				
PETITION FEE	Suspension of Action	\$ 130		
AFFIDAVIT OR RENEWAL FEE				
APPEAL FEE				
MAINTENANCE FEE				
OTHER:				
TOTAL		\$ 920		

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SRT _____ DJW _____ SBW _____



MAR 31 2007

HJ _____ PMG _____
GMS _____ CRA _____ KC _____
~~FEYNN, THIEL, BOUTELL & TANIS, P.C.~~
2026 RAMBLING ROAD
KALAMAZOO, MI 49008-1631

CO66

Received the following:

Certificate of Mailing dated March 23, 2007

Supplemental Response dated March 23, 2007

Declaration Under 37 CFR 1.132

Applicants: Junzo SUNAMOTO et al

Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953

Confirmation No.: 4435

Filing Date: September 17, 2001

Examiner: Chong

Group: 1617

Our Ref: Yanagihara 62

Due: ---



(00070939.DOC)

F
PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

March 6, 2008

Applicants: Junzo SUNAMOTO et al

For: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953 Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001 Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date: March 30, 2000

Atty. Docket No.: Yanagihara 62

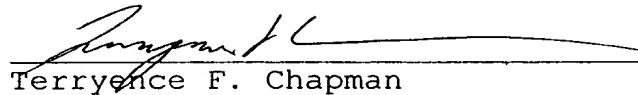
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450MAILED TO PATENT OFFICE
DATE MAR 06 2008

STATUS REQUEST

Sir:

Please advise the undersigned attorney of record of the status concerning the above-referenced application. A Supplemental Response was filed with the Patent Office on March 23, 2007 and to date, no further action from the Patent Office has been received.

Respectfully submitted,


Terryence F. Chapman

TFC/smd

FLYNN, THIEL, BOUTELL & TANIS, P.C. 2026 Rambling Road Kalamazoo, MI 49008-1631 Phone: (269) 381-1156 Fax: (269) 381-5465	David G. Boutell Terryence F. Chapman Mark L. Maki Liane L. Churney John A. Waters Brian R. Tumm Donald J. Wallace Stephen C. Holwerda Dale H. Thiel Sidney B. Williams, Jr. Heon Jekal	Reg. No. 25 072 Reg. No. 32 549 Reg. No. 36 589 Reg. No. 40 694 Reg. No. 24 802 Reg. No. 36 328 Reg. No. 43 977 Reg. No. 57 391 Reg. No. 24 323 Reg. No. 24 949 Reg. No. L0379*
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*limited recognition number

Encl: Postal Card

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 6, 2008.


Terryence F. Chapman

Interview Summary	Application No.	Applicant(s)	
	09/936,953	SUNAMOTO ET AL.	
	Examiner YONG S. CHONG	Art Unit 1617	

All participants (applicant, applicant's representative, PTO personnel):

(1) YONG S. CHONG. (3) _____

(2) Mr. Terry Chapman. (4) _____

COPY

Date of Interview: 12 September 2008.

Type: a) Telephonic b) Video Conference
c) Personal [copy given to: 1) applicant 2) applicant's representative]

Exhibit shown or demonstration conducted: d) Yes e) No.
If Yes, brief description: _____

Claim(s) discussed: _____.

Identification of prior art discussed: _____.

Agreement with respect to the claims f) was reached. g) was not reached. h) N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

/Yong S Chong/
Examiner, Art Unit 1617

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Attorney called Examiner to determine what the status of the case was. Apparently, Attorney had filed a RCE within 2 months of the Board Decision to affirm the Examiner. However, an Abandonment was filed prior to that, which prevented the RCE from being entered into record. Unfortunately since the case is already abandoned it is up to Applicant to revive the case since the Examiner cannot do anything at this point. It was suggested that the Applicant file a petition to withdraw the abandonment since Applicant has responded to the Board Decision within 2 months as verified by the record.

DHT _____ DGB _____ TFC _____
MLM _____ LLC _____ BRT _____
SRT _____ DJW _____ SBW _____



OCT 02 2008

HJ _____ PMG _____
GMS _____ CRA _____ KC _____
FILE _____

FLYNN, THIEL, BOUTELL & TANIS, P.C.
2026 RAMBLING ROAD
KALAMAZOO, MI 49008-1631

Received the following:

Petition to Withdraw the Holding of Abandonment

Under 37 CFR 1.181, with

Certificate of Mailing dated September 23, 2008

Copy of First Page of Board Decision
dated October 13, 2006

Copy of Express Mailing Certificate for RCE
dated December 13, 2006

Copy of First Class Mailing Certificate for
Supplemental Response dated March 23, 2007

Copy of Status Request dated March 6, 2008

Copy of Examiner's Interview Summary
dated September 12, 2008

Applicants: Junzo SUNAMOTO et al

Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953

Confirmation No.: 4435

Filing Date: September 17, 2001

Examiner: Chong

Group: 1617

Our Ref: Yanagihara 62

Due: ---

{00118810.DOC}



DHT _____ DGB _____ TEC _____
MLM _____ LLC _____ BRT _____
SRT _____ DJV _____ SBW _____

JAN 12 2009

HJ _____
GMS _____ CRA _____ FMG _____
FILE _____ KC _____

~~FLYNN, THIEL, BOUTELL & TANIS, P.C.~~
2026 RAMBLING ROAD
KALAMAZOO, MI 49008-1631

Received the following:

Reconsideration of Holding of Abandonment with
Certificate of Mailing dated January 7, 2009

Copies of MPEP 711.03: First page of Board's

ies or MPEP 711.03, First page of Board's
Decision dated October 13, 2006; Notice of
Abandonment dated November 1, 2006; Express
Mailing Certificate for RCE Application dated
December 13, 2006; Examiner's Interview Summary
Record dated September 12, 2008

Applicants: Junzo SUNAMOTO et al

**Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE**

Serial No.: 09/936 953

Confirmation No.: 4435

Filing Date: September 17, 2001

Examiner: Chong

EXAMINER: C.

Group: 1817
Our Ref.: Yanagihara 62

Our Ref.

Due: _____

{00126723.DOC}



United States Patent and Trademark Office

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09/936,953

Cosmetics product containing polysaccharide-sterol derivative

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03-10-2008	STATUS.LET	Request for status of Application	1	<input type="checkbox"/>		
03-27-2007	LET.	Miscellaneous Incoming Letter	3	<input type="checkbox"/>		
03-27-2007	AF/D	Rule 130, 131 or 132 Affidavits	4	<input type="checkbox"/>		
02-26-2007	WFEE	Fee Worksheet (PTO-06)	7	<input type="checkbox"/>		
12-13-2006	RCEX	Request for Continued Examination (RCE)	3	<input type="checkbox"/>		
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10-13-2006	APDA	BPAI Decision - Examiner Affirmed	11	<input type="checkbox"/>		
07-13-2006	AP_DK_M	Appeal Docketing Notice	1	<input type="checkbox"/>		
05-22-2006	RBNE	Reply Brief Noted - BPAI	2	<input type="checkbox"/>		
05-01-2006	APRB	Reply Brief Filed	5	<input type="checkbox"/>		
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03-01-2006	FWCLM	Index of Claims	1	<input type="checkbox"/>		
01-30-2006	AP.B	Appeal Brief Filed	11	<input type="checkbox"/>		
01-30-2006	XT/	Extension of Time	1	<input type="checkbox"/>		
11-21-2005	WFEE	Fee Worksheet (PTO-06)	1	<input type="checkbox"/>		
11-07-2005	N/AP	Notice of Appeal Filed	4	<input type="checkbox"/>		
11-07-2005	XT/	Extension of Time	2	<input type="checkbox"/>		
09-29-2005	CTAV	Advisory Action (PTOL-303)	3	<input type="checkbox"/>		
09-29-2005	FWCLM	Index of Claims	1	<input type="checkbox"/>		
08-08-2005	A.NE	Amendment After Final	1	<input type="checkbox"/>		
		Applicant Arguments/Remarks Made in an Amendment				
08-08-2005	REM	Fee Worksheet (PTO-06)	5	<input type="checkbox"/>		
08-08-2005	WFEE	Claims Worksheet	1	<input type="checkbox"/>		

08-08-2005	WCLM	(PTO-2022)	2	□
05-04-2005	CTFR	Final Rejection	8	□
05-04-2005	1449	List of References cited by applicant and considered by examiner	1	□
05-04-2005	892	List of references cited by examiner	1	□
05-04-2005	FWCLM	Index of Claims	1	□
05-04-2005	SRFW	Search information including classification, databases and other search related notes	1	□
05-04-2005	BIB	Bibliographic Data Sheet	2	□
04-29-2005	SRNT	Examiner's search strategy and results	2	□
01-03-2005	A...	Amendment/Req. Reconsideration- After Non-Final Reject	1	□
01-03-2005	CLM	Claims	2	□
01-03-2005	REM	Applicant Arguments/Remarks Made in an Amendment	3	□
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01-03-2005	WFEE	Fee Worksheet (PTO-06)	1	□
01-03-2005	WCLM	Claims Worksheet (PTO-2022)	1	□
10-12-2004	IDS	Information Disclosure Statement (IDS) Filed (SB/08)	3	□
10-12-2004	FOR	Foreign Reference	12	□
10-12-2004	FOR	Foreign Reference	9	□
10-12-2004	FOR	Foreign Reference	41	□
10-12-2004	FOR	Foreign Reference	14	□
10-12-2004	FOR	Foreign Reference	6	□
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07-01-2004	NPL	NPL Documents	20	☒
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04-23-2004	AP.B	Appeal Brief Filed	10	□
04-23-2004	XT/	Extension of Time	1	□
04-23-2004	TRTC	Transmittal to TC	1	□
03-09-2004	CTAV	Advisory Action (PTO-303)	3	□
01-26-2004	A.NE	Amendment After Final	1	□
01-26-2004	CLM	Claims	2	□
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01-26-2004	N/AP	Notice of Appeal Filed	2	□
01-26-2004	XT/	Extension of Time	1	□
07-22-2003	CTFR	Final Rejection	9	□
06-12-2003	A...	Amendment/Req. Reconsideration- After Non-Final	1	□

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06-12-2003	SPEC	<u>Specification</u>	1	<input type="checkbox"/>	
06-12-2003	REM	<u>Applicant</u> <u>Arguments/Remarks</u> <u>Made in an</u> <u>Amendment</u>	1	<input type="checkbox"/>	
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06-12-2003	REM	<u>Applicant</u> <u>Arguments/Remarks</u> <u>Made in an</u> <u>Amendment</u> <u>Amendment/Req.</u>	89	<input type="checkbox"/>	
06-02-2003	A...	<u>Reconsideration-</u> <u>After Non-Final</u> <u>Reject</u>	2	<input type="checkbox"/>	
06-02-2003	CLM	<u>Claims</u>	2	<input type="checkbox"/>	
06-02-2003	REM	<u>Applicant</u> <u>Arguments/Remarks</u> <u>Made in an</u> <u>Amendment</u>	6	<input type="checkbox"/>	
12-02-2002	CTNF	<u>Non-Final Rejection</u>	9	<input type="checkbox"/>	
12-02-2002	892	<u>List of references</u> <u>cited by examiner</u>	1	<input type="checkbox"/>	
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08-09-2002	SRNT	<u>Examiner's search</u> <u>strategy and results</u>	1	<input type="checkbox"/>	
01-25-2002	LET.	<u>Miscellaneous</u> <u>Incoming Letter</u>	4	<input type="checkbox"/>	
01-25-2002	OATH	<u>Oath or Declaration</u> <u>filed</u>	3	<input type="checkbox"/>	
11-14-2001	M903	<u>Notice of DO/EO</u> <u>Acceptance Mailed</u>	2	<input type="checkbox"/>	
09-17-2001	ABST	<u>Abstract</u>	1	<input type="checkbox"/>	
09-17-2001	LET.	<u>Miscellaneous</u> <u>Incoming Letter</u> <u>Issue Information</u> <u>including</u> <u>classification,</u> <u>examiner, name,</u> <u>claim, renumbering,</u> <u>etc.</u>	110	<input type="checkbox"/>	
09-17-2001	IIFW	<u>Search information</u> <u>including</u> <u>classification,</u> <u>databases and other</u> <u>search related notes</u>	1	<input type="checkbox"/>	
09-17-2001	SRFW	<u>Index of Claims</u>	1	<input type="checkbox"/>	
09-17-2001	TRNA	<u>Transmittal of New</u> <u>Application</u>	3	<input type="checkbox"/>	
09-17-2001	SPEC	<u>Specification</u>	98	<input type="checkbox"/>	
09-17-2001	CLM	<u>Claims</u>	2	<input type="checkbox"/>	
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09-17-2001	WFEE	<u>Fee Worksheet</u> <u>(PTO-06)</u>	1	<input type="checkbox"/>	
09-17-2001	WCLM	<u>Claims Worksheet</u> <u>(PTO-2022)</u>	1	<input type="checkbox"/>	
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09-17-2001	FOR	<u>Foreign Reference</u>	56	<input type="checkbox"/>
09-17-2001	FRPR	<u>Certified Copy of Foreign Priority Application</u>	74	<input type="checkbox"/>
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